

20 August 2024

File Ref: OIAPR-1274023063-29099

By email:

Tēnā koe

Request for information 2024-166

I refer to your request for information dated 23 July 202 which was received by Greater Wellington Regional Council (Greater Wellington) on 23 July 2024. You have requested the following:

- 1. WRC to supply documents re provision for and appointment of mana whenua to Council committees
- 2. WRC to supply 2017 Integrated Catchment Management Agreement
- 3. WRC to supply information as to funding for iwi @2010-2017.
- 4. WRC to supply details of funding for iwi prior to @2010, when Pauline was unsure of practice.
- 5. WRC to supply any information available as to funding specifically for the Otaki River and Catchment Iwi Management Plan 2000. Also, if possible, confirmation of whether this is lodge with council as an IMP, and if not, why not?
- 6. WRC to supply information as to funding provided for IMPs more recently the amounts provided to each iwi of the ART confederation for developing IMPs. If possible confirmation of whether this funding was intended as a capped contribution, or whether it was seen by the parties as being sufficient to fully fund development of IMPs

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Greater Wellington's response follows:

1. WRC to supply documents re provision for and appointment of mana whenua to Council committees

Greater Wellington appoints all non-elected members to committees, advisory groups and subcommittees under the 2021 *Policy On The Appointment Of Non-Elec ed Members To Committees, Subcommittees, And Advisory Groups* (**Attachment 1**). The policy is intended to provide a transparent and consistent approach to the process and is consistent with the local government act (2002)

Greater Wellington has appointed Mana Whenua to various groups and committees over the years including:

- Ara Tahi
- Te Upoko Taiao
- Long Term Plan committee.

2. WRC to supply 2017 Integrated Catchment Management Agreement

Greater Wellington supplied you with the 2017 Integrated Catchment Management Agreement (ICMA) on 1 August 2024 (Request 2024-14).

3. WRC to supply information as to funding for iwi @2010-2017.

In the supplied spreadshe t lwi Funding 1999 - 2021 (**Attachment 2**) on the tab labelled "Purchase orders 09-21" we have collated the purchase orders between 2009 and 2020. This data shows what funding went o our partners over this period noting that the purchase orders show what was expected and column V shows what was subsequently invoiced and paid. With non-notified consents there were differences in the approach to payment (as outlined in funding review memo (**Attachment 3**) this can account for some of the difference between expected and paid

4. WRC to supply details of funding for iwi prior to @2010, when Pauline was unsure of practice.

Funding fo lwi between 1999 and 2005 (**Attachment 2**) was based around responding to nonno ified consents on a quarterly basis through capacity funding and the project funding. There was also ad hoc funding which appears to be based on individual contracts rather than a consistent strategic approach.

In 2005 there was a review of funding, and a new and more strategic approach was recommended to Ara Tahi in 2006 by the Māori Policy advisor (**Attachment 3**).

5. WRC to supply any information available as to funding specifically for the Otaki River and Catchment Iwi Management Plan 2000. Also, if possible, confirmation of whether this is lodged with council as an IMP, and if not, why not?

Greater Wellington did not directly financially contribute to the development of the Ōtaki River and Catchment Iwi Management Plan 2000. The final document remains in DRAFT and does not appear to have been formally lodged; however, officers within Greater Wellington refer to the plan.

The reason for not being formally lodged is unknown, and therefore that pat of your request is refused under section 17(g) of the Local Government Official Informat on and Meetings Act 1987 on the basis that the information requested is not held by Greater We lington and we have no grounds for believing that the information is either –

- (i) Held by another local authority or a department or Minister of the Crown or organisation; or
- (ii) Connected more closely with the functions of another local authority or a department or Minister of the Crown or organisation.

Greater Wellington worked with Ngā Hapū o Ōtaki on the ICMA and provided resourcing for meeting attendance (up to \$10,000/year). This was an operational partnership and enable work programming along side lwi.

6. WRC to supply information as to funding provided for IMPs more recently - the amounts provided to each iwi of the ART confederation for developing IMPs If possible confirmation of whether this funding was intended as a capped contribution,

Greater Wellington funded iwi projects in the past through a contestable iwi project fund (**Attachment 4**).

In 2018 Ngā Hapū o Ōtaki received approval of funds (\$60,000) to create a Hapū Management plan (**Attachment 5**) but there is no record of the Hapū Management plan being completed and although purchase orders were created by Greater Wellington they were not invoiced by Ngā Hapū o Ōtak or paid (**Attachment 2**). To date no other iwi has been funded by Greater Wellington to specifically produce an Iwi management plan.

Greater Wellington has now moved to high trust funding arrangements that are outcomes ocused, this funding (Kaupapa Funding) is a key partnership tool and contributes to lwi achieving their aspirations through Greater Wellington opportunities. This funding can be used to progress lwi aspirations which could include lwi Management plans.

6a. Whether it was seen by the parties as being sufficient to fully fund development of IMPs.

The application and subsequent allocation of funds for the Ngā Hapū o Ōtaki hapu management plan outlines that the intended Greater Wellington contribution was a portion of the required funding (30%) for the total project and that Ngā Hapū o Ōtaki was contribut ng funds and in kind time to the project as well.

If you have any concerns with the decision(s) referred to in this letter you have the right to request an investigation and review by the Ombudsman under section 27(3) of the Local Government Official Information and Meetings Act 1987.

Please note that it is our policy to proactively release our esp nses to official information requests where possible. Our response to your request will be publi hed shortly on Greater Wellington's website with your personal information removed.

Nāku iti noa, nā

Monica Fraser Te Pou Whakarae Te Hunga Whiriwh i



Policy on the appointment of non-elected members to committees, subcommittees, and advisory groups

Date adopted by Council:

19 August 2021

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Purpose

- 1 This policy sets out objective and transparent generic processes for the appointment and remuneration of non-elected members to a committee, subcommittee, or advisory group (a Council body) of Greater Wellington Regional Council (the Council).
- 2 For the purposes of this policy the term 'non-elected member' excludes a statutory appointee to a committee¹, a local authority elected member appointee, and a director of a Council organisation².

Background

Relevant legislation and references

- 3 This policy was determined with reference to clause 31 of Schedule 7 to h Local Government Act 2002 (the Act), which provides that:
 - (1) A local authority may appoint or discharge any member of a committee or subcommittee.
 - (2) Unless directed otherwise by the lo al authority, a committee may appoint or discharge any member of a subcommitte appointed by the committee.
 - (3) The members of a committee or subcommittee may, but need not be, elected members of the local author ty, and a local authority or committee may appoint to a committee or subcommi tee person who is not a member of the local authority or committee if, in the opinion of the local authority, that person has the skills, attributes, or knowledge hat will assist the work of the committee or subcommittee
- 4 Standing Orders 2.9 1 and 2.9.2³ replicate the statutory requirements stated above.
- 5 Where:
 - a Council wants to ppoint a non-elected member to a committee or subcommittee; or
 - b A committee wants to appoint a non-elected member to a subcommittee appointed by tha committee

the appoin ment may be made if, in the opinion of Council or the committee (as appropriate) that person has the skills, attributes or knowledge that will assist the work of the committee o subcommittee⁴.

- ³ Council's *Standing Orders 2019*.
- ⁴ Clause 31(3) of Schedule 7 to the Local Government Act 2002, and Standing Order 2.9.2.

For example, on a regional transport committee, the persons appointed to represent the New Zealand Transport Agency and KiwiRail (under sections 105 and 105A of the Land Transport Management Act 2003 respectively).

These appointments are covered by Council's *Policy on the appointment and remuneration of directors of Council organisations* (February 2021).

Advisory groups

- 6 The Act and Council's Standing Orders do not impact the appointment and remuneration processes for non-elected members of advisory groups.
- 7 Advisory groups are set up for a variety of reasons, typically to advise a committee or subcommittee on a particular issue or to represent certain interests. Council has retained the power to establish advisory groups and to appoint the members (unless Council has approved specific terms of reference that provide otherwise). Advisory groups are not delegated decision-making responsibilities.
- 8 The appointment and remuneration processes applying to committees and ubcommittees also apply to advisory groups.

Principles

- 9 The appointment and remuneration processes for non-elected members of a Council body will:
 - a Be objective and transparent and consistent with Greater Wellington's *Privacy Policy*;
 - b Manage conflicts of interest appropriately⁵;
 - c Consider the context in which Council, as a publicly accountable body, must operate; and
 - d Be made on the basis of the skills attributes, or knowledge, that will assist the work of the Council body (as stated in that Council body's terms of reference).

Application of this policy

10 In addition to this policy, appointmen s and remuneration of non-elected members (and any associated terms and conditions) to a Council body are governed by specific processes and requirements within that Council body's terms of reference, any relevant Council resolution, and any relevant statutory equirement.

Appointment process

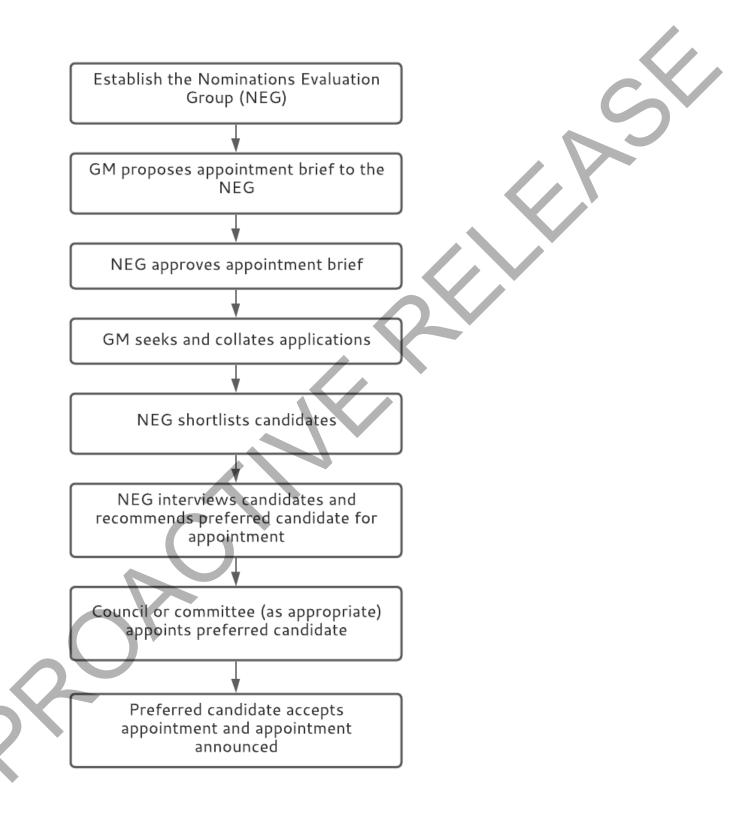
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- 11 The following process flow:
 - App ies to an appointment sought from the general community, including sectors of the c mmunity
 - Does not apply where a nomination is sought from a specific entity to fill a membership entitlement as stated in a Council body's terms of reference (e.g. an iwi authority nomination to fill a position allocated for that authority on the Council body).⁶

See paragraphs 24 and 25.

⁶ The relevant General Manager should inform the Council Chair and the Chair of the relevant Council body of such a nomination. Subject to the completeness of the nomination and any other relevant issues identified, the nomination will then progress to the relevant approving body for appointment.

Process flow



Establish the Nominations Evaluation Group

- 12 The Nominations Evaluation Group (the NEG) manages the appointment process for a nonelected member of a Council body. The NEG's members are the:
 - a Council Chair (or Deputy Council Chair, if delegated by the Chair)
 - b Chair of the Council body (if appointed), or another Councillor
 - c Deputy chair of the Council body (if appointed), or another member from that Council body (if no deputy chair is appointed), or another Councillor
 - d General Manager responsible for the Council body.

General Manager proposes an appointment brief

- 13 The General Manager responsible for the Council body (the Ge eral Manager) prepares an appointment brief for the NEG that proposes the:
 - a Process for seeking applications
 - b Skills, attributes, or knowledge sought (which may be included in a draft position description)
 - c Process for considering applicat ons, including managing any conflicts of interest held by the NEG members or applicants.

Process for seeking applications

- 14 In proposing the process for seeking applications for the appointment of a non-elected member, the General M nager should consider:
 - a Any requirements in the Council body's terms of reference or related legislation; and
 - b The sign ficance and purpose of the proposed appointment
 - c Eng gement venues, including:
 - i Public advertisement
 - ii Recruitment agencies
 - iii Referrals by Councillors or officers
 - iv Inviting nominations from the Wellington Region's territorial authorities
 - v Contacting relevant names within Greater Wellington's databases.
- 15 Regardless of the engagement avenues used, all applicants will need to meet the requirements of the application process.

- 16 Where a non-elected member is sought who represents:
 - a The views of the wider regional community;
 - b The views a specific regional community; or
 - c An independent view

the proposed process for seeking applications should include a public advertisement.

- 17 A public advertisement should include the:
 - a Purpose of the appointment
 - b An outline of any desired skills, attributes, or knowledge (which may be set out in a position description)
 - c Requirement for an applicant to provide a:
 - i Covering letter
 - ii Curriculum vitae (including three referees)
 - iii Completed and signed disclosure of personal inte ests that may create a conflict, or potential conflict, noting that an appli ation will not be considered without this disclosure
 - d Contact details of a Greater We lington officer who can assist if a potential applicant requires further information
 - e Application method, including the c osing date and time.

Skills, attributes, or knowledge sought

- 18 Non-elected members can only be appointed to committees or subcommittees if they have "the skills, attributes, or knowledge that will assist the work of the committee or subcommittee ⁷. This policy applies the same approach to the appointment process for nonelected memb rs on advisory groups, unless the advisory group's terms of reference provides otherwise
- 19 The proposed skills, attributes, or knowledge required for the appointment of non-elected members (he selection criteria) should consider the:
 - Pu pose and specific responsibilities of the Council body
 - b Delegations from Council to a committee or subcommittee
 - Statutory requirements on a committee or subcommittee
 - d Other requirements on the Council body, as stated in the related terms of reference
 - e Skills, attributes, or knowledge of existing members
 - f Other skills, attributes, or knowledge required to assist the work of the Council body.

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⁷ See footnote 4.

Process for considering applications

- 20 The proposed process for considering applications should address the:
 - a Arrangements to receive, store and dispose of information (including applicant information and reference checks), and whether differing arrangements are needed for candidates
 - b Timeline for the NEG's consideration and decisions, notifications to appli ants and candidates, reference checking, and Council or committee decision-making
 - c Term of appointment for the non-elected member
 - d Remuneration for the non-elected member, if this requires specific approval by Council.

NEG approves appointment brief

21 The NEG meets to consider and approve the appointment brief, ncluding any position description and any public advertisement.

General Manager seeks and collates applications

22 The General Manager then implements the a proved appointment brief, receives and acknowledges applications, and collates these for the NEG's consideration.

NEG shortlists candidates

23 The NEG meets to assess the collated applications and develop a shortlist of up to five candidates for each appoin ment. If the quantity or quality of applications is inadequate, then the NEG will revisit and repeat the process for seeking applications.

NEG members' conflicts of interest

- 24 Before assessing the applications received:
 - a Each NEG member identifies and records any actual or potential conflict of interest arising f om their interest in the business of, or their relationship with, any applicant
 - The NEG then considers any conflicts in accordance with the Office of the Auditor-General's <u>Managing conflicts of interest: a quide for the public sector</u> and records its decision on how to manage each conflict.

Shortlisting candidates

- 25 When assessing the applications, the NEG should:
 - a Reject any application:
 - i Received after the closing time and date (unless the NEG decides to accept te applications)
 - ii Without a completed, or with an incomplete, disclosure of personal interests that may create a conflict, or potential conflict
 - iii Received from a Greater Wellington officer for appointment a a non-elected member to a <u>committee</u>⁸
 - b Consider any applicant's actual or potential conflicts, and decide how to manage these conflicts, bearing in mind the Office of the Auditor-General's guidance:
 - i <u>Managing conflicts of interest: a guide for the public sector</u>
 - ii <u>Local Authorities (Members' Interests) Act 1968: a quide for members of local</u> <u>authorities on managing financial conflict of inte est</u>
 - c Each record their assessment of the applicants against the approved selection criteria
 - d Record the NEG's decisions on managing applicant conflicts, the basis for its overall assessments of applications, and the basis for developing the shortlist of candidates.
- 26 The General Manager will then:
 - a Advise each shortlisted candida e and arrange their interview time
 - b Notify unsuccessful app icants.

NEG interviews candidates and recommends preferred candidate

- 27 The NEG is responsible for interviewing the shortlisted candidates.
- 28 Prior to these interviews, the General Manager will prepare the proposed interview questions, which should cover the approved selection criteria and may also consider the following additional c iteria:
 - Le el of expertise

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- Ability to analyse, question and apply judgment
- Ability to maintain an open mind
- d Level of local knowledge
- e Increasing diversity of representation
- f Links into the community and willingness to utilise those links

As any such appointment is precluded by clause 31(4(b) of Schedule 7 to the Local Government Act 2002, and Standing Order 2.9.4.

- g Integrity
- h Ability to devote time and attention to the work of the Council body.
- 29 The NEG will consider and approve the interview questions, and (to ensure fairness) a k all candidates the same questions.
- 30 Following the interviews, the NEG will assess the candidates against the approved int rview questions, select one or more potential preferred candidates, and record the basis for their individual and overall assessments.
- 31 The General Manager will then arrange reference, and any other, checks agreed by the NEG for the potential preferred candidates. Following receipt of the results of these checks, the NEG will meet to:
 - a Select a preferred candidate
 - b Record its assessment and ranking of candidates
 - c Decide how and when to notify the unsuccessf I candidates.
- 32 The General Manager will then prepare a:
 - a Report to Council or the related committee o tlining the:
 - i Requirements and process for appointment in the relevant legislation, the Council body's terms of reference, nd this policy
 - ii Appointment process fol owed and an assurance that it aligns with this policy
 - iii Assessment of c ndidates the preferred candidate, how they were selected, and the rationale for th ir selection
 - iv NEG's recommendation to Council or the committee to appoint the preferred candidate as a non-elected member of the Council body.
 - b Draft let er of ap ointment, for the signature of the Chair of Council or the committee (as appropriate).

Council or committee appoints preferred candidate

- 33 Where Council established the Council body to which the appointment of the non-elected membe is proposed, Council shall make the appointment. Otherwise, the committee establishing the subcommittee may make the appointment.
- 34 Generally, a report recommending the appointment of a non-elected member to a Council body will be considered in the public excluded portion of the Council or committee meeting to protect the preferred candidate's privacy.⁹

⁹ Section 48(1) of the Local Government Official Information and Meetings Act 1987 (LGOIMA) states that the public may be excluded from the whole or any part of a meeting when, amongst other things, "the public conduct of the

Preferred candidate accepts appointment, which is then announced

- 35 A public announcement of the appointment will be made as soon as practicable after the decision of Council or the committee <u>and</u> the preferred candidate has confirmed their acceptance of the appointment.
- 36 The preferred candidate should have the opportunity to review and provide comment on the draft public announcement before it is finalised.
- 37 Once the appointment of the non-elected member is announced publicly:
 - a Greater Wellington will provide the appointee with a letter on administrative arrangements
 - b The General Manager will organise for the new appointee's ind tion and attendance at their first meeting of the Council body.

Remuneration

- 38 The remuneration for non-elected members of a Council b dy is Greater Wellington's standard daily meeting allowance plus travel costs (mil age, parking, public transport costs) at a rate determined by the Council <u>unless</u>:
 - a The Council body's terms of reference provide otherwise; or
 - b Council resolves specific remuneration for a particular non-elected member or nonelected members of a particular Council body.

whole or the relevant part of the proceedings of the meeting would be likely to result in the disclosure of information for which good reason for withholding would exist".

Section 7(2)(A) of the LGOIMA states that good reason will exist when it is necessary to "protect the privacy of natural persons" unless in the circumstances of the particular case, the withholding of that information is outweighed by consider which render it desirable, in the public interest, to make that information available.

greater WELLINGTON REGIONAL COUNCIL

Report 06.373 Date File

31 July 2006 X/16/07/01

Committee Ara Tahi Author Jason Kerehi Maori Policy Advisor

Changes to Iwi Funding

1. Purpose

To advise Ara Tahi of the review of iwi funding

2. Significance of the decision

The matters for decision in this report do no trigger the significance policy of the Council or otherwise trigger section 76(3)(b) of the Local Government Act 2002.

3. Background

In 2005, Greater Wellington decided to review the way it funds iwi to provide a more straightf rwa d process and one that is consistent across the region. Several other eviews w re occurring in our organisation that contributed to a need to re-eval ate the way we funded iwi. Our consents team were undertaki g a review of their processes, and Greater Wellington underwent a complete restructuring of the organisation with a clear goal to align our proce ses acros the region. This paper sets out the new iwi funding structure as a result of the iwi funding review.

4. Comment

Review of iwi funding 4.1

4.1.1 Consents review

The consents review found that there were discrepancies in the way our organisation funded iwi across the region. This was a historical issue that had occurred over time. Wellington iwi were paid a set amount per consent commented on, whereas the two Wairarapa iwi were paid a flat annual fee regardless of the number of consents sent.

There were obvious differences between consent numbers for iwi, such as the huge amount of bore consents in Kapiti, or rural type consents in the Wairarapa. The granting of a Global Consent for the Ruamahanga River catchment area reduced consent numbers considerably, as did the agreement with Wairarapa iwi to not send bore-consents from 2004 onwards.

4.1.2 Greater Wellington restructure

The restructuring of the organisation over the past eight months has led to a concerted effort to align our processes. All resource consents now come under the same Division – Environment Management, which will result in regionally consistent processes and practices.

4.1.3 Changes to the iwi project fund

In November last year iwi were informed that there would be change to t e administration funding and iwi project fund from 1 July 2006. It was announced that the administration funding would be raised to \$20 000 per annum per iwi and that this represented a half-salary figure within each iwi authority. It was also announced that Council would alter the iwi project funding process so that iwi would now have to s bmit heir proposals through the annual planning process. Take up of the iwi proje t fund had been disappointing with large amounts not being spen from year to year.

4.1.4 Other issues

The invoicing and reporting process had become confusing for iwi and council staff alike. Payments for consints were paid three-months retrospectively and the administration funding was paid six months in advance.

In addition, contracts and invo cing involved three different managers (two for consents and a thi d for administration funding) and on some occasions invoices were being sent to the wrong Divisions.

4.2 Our funding proposal

4.2.1 Iwi capacity funding

The cur ent administration and consent funding will be combined into a single contract and called *iwi capacity funding*. This funding will be set at \$26,000 per annum per iwi. This will be paid to each iwi retrospectively at the end of each quarter on receipt of an invoice and report from iwi. This amount has been derived by combining all current contracts (except the iwi project fund) and dividing by the number of iwi authorities. For most iwi this results in a marked increase in funding and for the two Wairarapa iwi it is a minimal decrease.

Greater Wellington considers that this funding will sufficiently resource a partposition within each iwi authority that enables them to respond to our consents process and be available for consultation. It also means that we are resourcing all iwi at the same rate.

Administration of this contract will be the sole responsibility of the Secretariat, in the Corporate and Strategy Division. Environment Management Division staff will still receive reports on consents and liaise with iwi.

4.2.2 Iwi project fund

The iwi project fund will still be available as before for the 2006/07 year, but under a separate process. The budget for the 2006/07 financial year has been set and iwi will be able to make proposals to the Corporate and Strategy Division.

This process will, however, change for the 2007/08 financial year The new process will require iwi to make proposals under the annual planning proc ss. There will no longer be a contestable budget but all proposals will e considered on a case-by-case basis by the Council. Proposals for he 2007/08 annual plan will need to be submitted to Greater Wellington by November 2006.

4.3 How will we do this?

We will write to all iwi authorities advising them of the changes to the funding. We will draft contracts, new reporting template and purchase orders and send these through to your organisations. The cap city funding (which combines the administration and consents funds) starts from 1 July 2006. The first quarterly invoices for the capacity funding contracts are expected from 30 September 2006.

Our Consent teams will continue to receive reports on consents and liaise with iwi. They will refine th ir systems so that we are consistent across the region.

The transition from the old iwi project fund process will be developed and advised to you through reports at subsequent Ara Tahi meetings.

5. Communication

Any matters requiring further communication are outlined in 4.3 above.

6. Recommendations

That the Committee:

- 1. **Receives** the report.
- 2. *Notes* the content of the report, in particular the new iwi funding structure.

Report prepared by:

Report approved by:

Report approved by:

Jason Kerehi Maori Policy Advisor Amy Norrish Manager, Secretariat Jane Bradbury Divisional Manager, Corporate and Strategy

IWI PROJECT FUND GUIDELINES

The Iwi Project Fund is a contestable fund available to mana whenua entities on an annual basis to undertake projects that are aligned to iwi and GWRC priorities for mutual benefit.

The cumulative project costs must not exceed \$100,000 per annum.

Funding Categories

There are 3 categories of funding available:

- Small Fund up to \$20,000 total value
 Medium Fund \$20,001-\$60,000 total value
- Large Fund \$60,001-\$100,000 total value

Expressions of Interest

Te Hunga Whiriwhiri (THW) will call for Expressions f Inte est (EoIs) for iwi project funds by 1 July each year. Refer to Attachment 1 for a summary planned actions and timelines.

Completed EoIs are required for <u>all</u> iwi funding categories and must demonstrate how the proposal meets the following <u>General Crit ria</u>:

- 1. is aligned to iwi and GWRC prior ties and enables GWRC to meet its tangata whenua obligations under the Resource Management Act and achieve good environmental outcomes
- 2. is consistent with the Regional Policy Statement or GWRC's regional plans (eg the Proposed Natural Resources Plan), strategies and policies
- 3. improves and en ances the partnership relationship between GWRC and mana whenua partners
- 4. en ble mana whenua partners to give expression to tino rangatiratanga.

Completed Eols are due to THW before mid-July and must be submitted using the template provided a Attachment 2.

GWRC reserves the right to shortlist if there are more proposals than funding available.

Small Fund (up to \$20,000 total value)

Entities applying for the Small Fund must:

- 1. complete and submit a Small Fund EoI by mid-July using the template provided at Attachment 2
- describe how the proposal meets <u>at least two</u> of the <u>General Criteria</u> described above

The decisions on these proposals will be based on the EoI information provided.

Subject to the availability of unspent resources, Small Fund EoIs will be welcome at any time throughout the year.

Medium Fund (\$20,001-\$60,000 total value)

Entities applying for the Medium Fund must:

- 1. complete and submit a Medium Fund EoI by mid July us ng the template provided at Attachment 2
- describe how the proposal meets <u>at least three</u> of the <u>General Criteria</u> described above
- 3. describe how the proposal meets <u>at least</u> the following 3.1 <u>Weighted Criteria</u>:
 - 3.1 includes 30% co-funding (up to 10% may be 'in kind' resourcing). Refer to **Attachment 3** for examples o 'in kind' resourcing
 - 3.2 involves par nerships with at least one other iwi partner
 - 3.3 is of national or regional significance
 - 3.4 con ributes to mana whenua capability and capacity development.

There is an added advantage if Medium Fund proposals describe how they meet other **Weighted Crite ia** (3.2 to 3.4).

Decisions on Medium Fund EoIs will be based on the <u>General and Weighted Criteria</u> i formation provided.

Large Fund (\$60,001-\$100,000)

Entities applying for the Large Fund must:

- 1. complete and submit a Large Fund EoI by mid-July using the template provided at Attachment 2
- 2. describe how the proposal meets <u>all</u> of the:
 - 2.1 General Weighted criteria; and
 - 2.2 <u>Weighted criteria</u> described above
- 3. if short listed and invited to do so by TPW, complete and submit a Large Fund Full Proposal by mid-September using the template provided at Attachment 4.

GWRC will:

- invite shortlisted entities to submit a Large Fund Full proposal
- work with entities as requested to help develop Large Fund Full Proposals from July mid-September.

A previous proven track record of successful delivery by iwi entities will be an added advantage.

Selection Panel

The Chair, Ara Tahi will convene an initial selection panel to consider the proposals within one week of the closing date for all EoIs. The panel will make recommendations to TPW on the:

- successful Small and Medium Fund EoIs
- shortlisted L rge Fund EoIs that could be invited to progress to the Full Proposal stage.

Within three week of the closing date for all EoIs, TPW will make final decisions on the initial panel's recommendations and:

- ad ise mana whenua entities of the outcomes of their Small or Medium category applications
- invite shortlisted entities to develop Full Proposals for the Large Fund category

Within one week of the closing date for Full Proposals for the Large Fund, the Chair, Ara Tahi will convene a second selection panel to make recommendations on the Large fund Full Proposals to TPW.

By mid-October, TPW will make final decisions on the second panel's recommendations for the Large Fund proposals and will advise mana whenua entities of the outcomes.

Contracting and Funds

TPW will sign Contract variations to the Partnership Agreements with mana whenua entities and the funds will be committed for successful:

- Small and Medium EoI proposals by the end of August
- Large Fund Full Proposals by the mid-November.

GWRC will make payments directly to the successful mana whenua entities and not the individual.

Single and Multi-Year Proposals

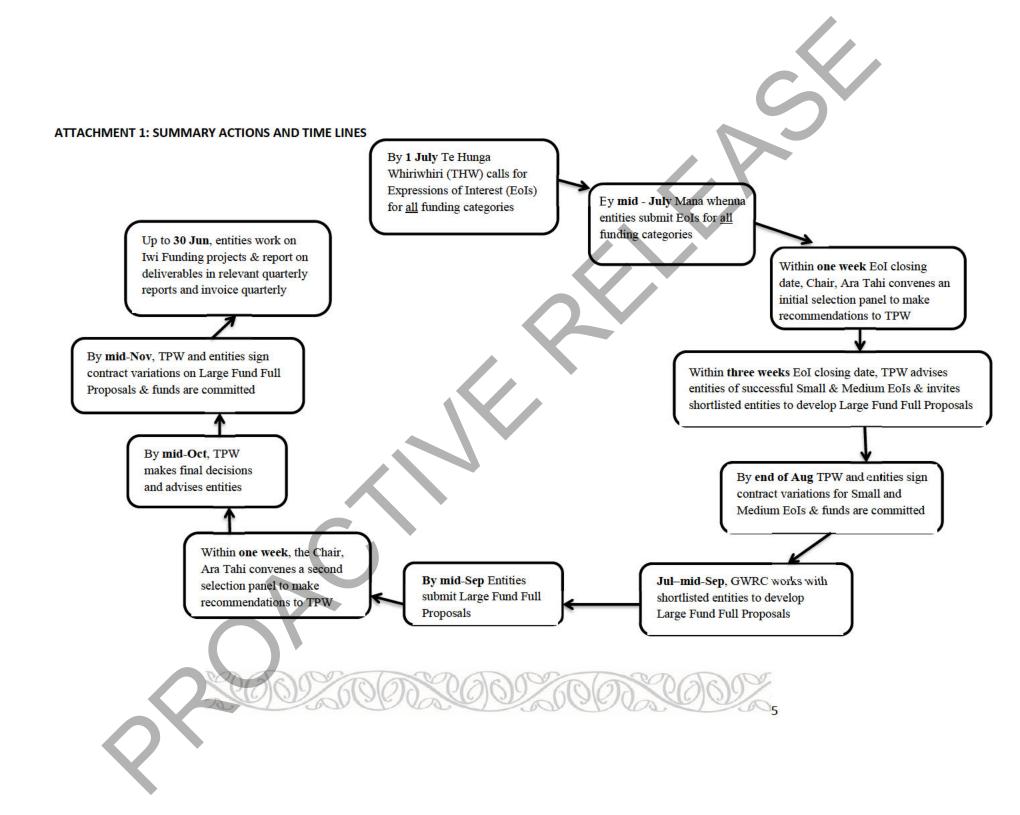
TPW will make final decisions on proposals for a single years funding.

Any multi-year proposals will be considered in subsequent years along with any other new iwi proposals received.

Reporting and Invoicing

All entities that receive Iwi Project Funding will:

- report on the deliverables achieved in ach quarter in the single consolidated Quarterly report template on Te Wāhi; and
- Invoice GWRC for the deliverables completed in each quarter as part of the single consolidated quarterly invoice





ATTACHMENT 2: [ENTITY NAME] IWI PROJECT FUND EXPRESSION OF INTEREST

PROJECT TITLE	APPLICATION DATE
PROJECT MANAGER'S NAME	
Cell Phone:	
Email address:	
TOTAL PROJECT COSTS	
TOTAL FUNDING SOUGHT (If different from	
previous line item)	

FUNDING CATEGORY SOUGHT (indicate which category you're applying fo)

- Small Fund project
- up to \$20,000 up to \$20,001-\$60 000
- Medium Fund project
- Large Fund project
- up to \$60,001-\$100,000

PROJECT DESCRIPTION

Overview (describe how your proposal aligns with the following criteria as required)

<u>General criteria (NB all p oposals) the</u> proposal:

- is aligned to iwi and GWRC priorities and enables GWRC to meet its tangata whenua obl gations under the Resource Management A t and achieve good environment l outcomes
- 2 is consistent with the Regional Policy Stat me t or GWRC's regional plans (eg the Proposed Natural Resources Plan), strategies and policies
- improves and enhances the partnership relationship between GWRC and mana whenua partners
- 4. enables mana whenua partners to give expression to tino rangatiratanga

Weighted criteria (Medium & Large Fund proposals) the proposal:

- 1. includes 30% co-funding (up to 10% may be 'in kind' resourcing).
- whenua obl gations under the Resource 2. involves partnerships with at least oneManagement A t and achieve good other iwi partner
 - 3. is of national or regional significance
- 2 is consistent with the Regional Policy 4. contributes to mana whenua capabilityStat me t or GWRC's regional plans (eg and capacity development.







PROJECT OUTCOMES (describe the outcomes your project will achieve)

PROJECT MILESTONES (describe the deliverables that w l be c mpleted each quarter)

PROJECT COST DETAILS (specify the cost of the deliverables that will be completed each quarter)

Describe any co-funding or "in kind" funding arrangements (refer to Attachment 3 for examples) for Large Fund proposals and any obligations associated with such funding.





ATTACHMENT 3: EXAMPLES OF 'IN KIND' CO-FUNDING RESOURCING

There are a number of ways of identifying 'in kind' resourcing. The following examples provide some guidance:

• **Example 1**: A farmer gives you permission to use a paddock next to a river to do some research on erosion. As a result of your research, the farmer is unable to use the land for short periods of time, but suffers no material loss.

Do not assign a value to this example.

• Example 2: The owner of a wood-drying plant allows you to use the plat to dry some samples. The plant would otherwise be idle.

Estimate how much it cost the organisation to run the plant for the imes that you used it.

• **Example 3:** An organisation allows you to use its propri tary d ta for free.

If the organisation is not selling this data to other users, estimate the out-of-pocket costs incurred by the organisation in making the data availa le to you.

Do not include the cost of gathering he data. If the organisation is selling the data to other users, then use this as a value

• **Example 4:** Another organisation seconds a staff member to your project for six months.

Use salary and add-on costs (ie ACC levies, holiday and sick pay)

• **Example 5:** An organisation's staff members fly to a remote island every summer. This summer they are going again and they offer your team and gear a lift.

Estimate the out-of-p cket costs sustained by the provider, eg extra fuel required. Do not use the value of the entire flight.



ATTACHMENT 4: [INSERT ENTITY NAME] LARGE FUND FULL PROPOSAL

Shortlisted entities applying for the Large Fund must complete a detailed Full Proposal to supplement the Expression of Interest information already submitted.

Please complete the following requirements.

PROJECT DESCRIPTION Provide additional detailed description of alignment wi h **general and weighted criteria** and any other supporting information (eg relevant literature, past surveys etc)

PROJECT OUTCOMES Provide additional de ailed description of proposed outcomes for mana whenua and GWRC separately and collectively (eg cross iwi or Council benefits)

PROJECT M LESTONES Provide additional detailed description of deliverables each quarter



PROJECT COST DETAILS Provide additional detailed description of funding sources

and any associated obligations

Deliverables	In kind resourcing	Co-funding	GWRC funding

IWI PROJECT FUND PROPOSALS

2018/19 FUNDING ROUND

INTRODUCTION

The panel is to make decisions on the mana whenua partners' proposals for the Greater Wellington Regional Council's Iwi Project Fund 2018/19 funding round.

BACKGROUND

The Iwi Project Fund is an annual contestable fund. Cumulatively, the total value of the projects must not exceed \$100,000.

Payment will be made directly to the successful mana whenua entities and not the individual

In 2017/18, GWRC developed and piloted 3 new categories with new associated criteria and processes for the Iwi Project Fund which have been used in the 2018/19 application :

- Low Fund up to \$20,000
- Medium Fund \$20,001 \$60,000
- Large Fund- \$60,001 \$100,000

COMMENT

Two applications were received for the 2018/19 funding roun

Refer to the Attachments for an overview of each propos 1 and copy of the application that provides further detail from:

• Nga Hapū o Otaki

- Attachment One
- Te Rūnanga o Toa Rangatira Inc Attachment Two

IWI	TOPIC	Total Project value	Funding Sought	30% CONTRIBUTION in kind	Funding Category sought	COMMENTS
Nga Hapū o Otaki (NHoO)	Environment Management Plan	\$80k	\$60k	\$20k	Medium Fund	 Current Proposal The proposal meets the requirements of the Medium Fund Previous Iwi Project Fund NHoO has previously received Iwi Project Funding as follows: 2013/14: \$50k Restoration of Lake Waiorongomai. Includes improve freshwater coastal dune lake and wetland ecosystem to support biodiversity and supports the Manaaki Taha Moana research project 2007/08: \$35k Restoration of Lake Waiorongomai Stage One includes development of a strategic plan and the delivery of environment and social outcomes (Ngāti Raukawa ki Te Tonga)
Te Rūnanga o Toa Rangatira Inc (TRoTR)	Whaitua Chapter to the TRoTR Environmental Management Plan		\$20k	Not applicable	Low fund	 Current Proposal The proposal meets the requirements of the Small Fund. Previous lwi Project Fund TRoTR has previously received lwi Project Funding as follows: 2016/17: \$40k to develop an Environment Management Plan which has not yet been completed 2013/14: \$30.82k Marine survey of paua and kina stocks in the coastal marine area from Titahi Bay to Turakirae Head.

PROACTIVE RELEASE

ATTACHMENT ONE: NHOO ENVIROMENTAL MANAGEMENT PLAN

NGĀ HAPŪ O OTAKI HAPŪ PROJECT FUND EXPRESSION OF INTEREST

PROJECT TITLE HAPŪ MANAGEMENT PLAN	APPLICATION DATE: 9 August 2018	
Start date	1 September 2018	
End date	1 September 2019	
PROJECT MANAGER'S NAME	ТВС	
Cell Phone:		
Email address:		
COORDINATOR	TBC	
TOTAL PROJECT COSTS	\$80,000 excl gst	
TOTAL FUNDING SOUGHT (If different from previous line item)	\$60, 000 excl gst	
TOTAL IN KIND FUNDING (NHoO)	\$20,000 00	

FUNDING CATEGORY SOUGHT

Medium Fund project
 up to \$20,001-\$60,000k

PROJECT DESCRIPTION

PROJECT AIM:

To develop a Hapū Management Plan that builds on the "Proposed Ngāti Raukawa Ōtaki River and Catchment Hapū Manageme t Plan 2000" and identifies the vision key values, objectives and policies of NHōŌ going forward. This project is for one year's funding but the deliverables and payments may be spread over 2 years.

Background

This projec is f r one year's funding but the deliverables and payments may be spread over 2 years. The project and nformation generated by it will be owned, by Ngā Hapū o Ōtaki (NHōŌ). NHōŌ will establish a project governance group that will supervise and sign off the deliverables of the project within the equired timeframes.

OBJECTIVES

The Hapū Management Plan:

- 1. will identify a vision statement for the management of the Ōtaki River and its catchment including:
 - a. governance arrangements needed to achieve the vision
 - b. the values on how the mauri of the $\bar{O}taki$ River and catchment and the NHo \bar{O} people are protected, sustained and nurtured

- c. capability and capacity development to enable NHōŌ to fulfil their kaitiakitanga responsibilities and pass on knowledge to their mokopuna
- 2. will identify the Māori environmental management philosophy of NHōŌ which includes:
 - a. Environmental principles
 - b. ecological restoration
 - c. environmental monitoring
- 3. is consistent with the Regional Policy Statement or GWRC's regional plans (eg the Natural Resources Plan), strategies and policies (General Principle 2)
- 4. improves and enhances the partnership relationship between GWRC and mana whenua partners (General Principle 3)
- 5. enables NHōŌ to give expression to tino rangatiratanga (General Principle 4)

DELIVERABLES

Milestones	Activity description:	Time from start date
1.	Establish a project governance group consisting of representatives from each hapū to oversee the development of the Hapū Management Plan. The governance team will meet /weekly/fortnightly/monthly to guide the direction of the plan.	1 Month
2	 Identify a project coordinator to develop and deliver: a project specification which describes the detailed deliverables, due dates and costs for the project the services required to deliver the requirements of the signed off project specification a copy of the final NHoŌ Hapū Management Plan that has been signed off by the project governance group. 	1 month
3	Deliver a fully scoped and detailed plan of the services needed to deliver the project for sign off by the project governance group	2 months
4	 Review relevant existing public information which includes but is not limited to: 1. "Proposed Ngāti Raukawa Ōtaki River and Catchment Hapū Management Plan 2000" 2. GW's Natural Resources Plan relevant objectives, policies and Schedules 3. NHōŌ Cultural Impact Assessment documents provided to GW 	3 months

5	Gather information from key sources to identify key aspects of the plan:	6 months
	1. interviews with key NHōŌ experts	
	2. facilitate Hapū-wide workshop (1) on key aspects	
	3. an online survey with whānau	
6	Write up the first draft for sign off by the project governance group	9 months
	of the NHōŌ Hapū Management Plan including:	
	1. the vision, objectives, values, strategic priorities and the	
	management and operational aspects to deliver visiongovernance arrangements needed to achieve the vision	
	 governance an angements needed to achieve the vision values on how the mauri of the Ōtaki River and catchment and he 	
	NHōŌ people are protected, sustained and nurtured	
	4. capability and capacity development to enable NHo $\bar{\rm O}$ to fulfil their	
	kaitiakitanga responsibilities and pass on knowledge to their	
	mokopuna	
	5. the Māori environmental management philosophy of NHōŌ which includes:	
	a. environmental principles	
	b. ecological restoration	
	c. environmental monitoring	
	6. how the plan	
	a. is consistent with the Regional Policy Statement or GWRC's	
	regional plans (eg the Natural Resources Plan), strategies and	
	policies	
	b. improves nd enhances the partnership relationship	
	between GWRC and mana whenua partners	
	c. enab es NHoŌ to give expression to tino rangatiratanga	
7	Peer review the draft plan with NHōŌ experts and NHōŌ whānau at	10 months
	a hapū wide works op (2)	
		·

8	Write up the final draft NHōŌ Hapū Management Plan for sign off by the project governance group and socialise through Hapū-wide workshop (3)	12 months
	Submit a copy of final plan to GW	

Costs for governance group meetings		
Costs for governance group meetings	_	
Milestone	Milestone activity	Value
Meeting fees for project governance group consisting of 1 representative from each hapū (5)	12 meetings with governance \$250 per meeting per person	\$15,000.00
Three Hapū-wide workshops		
Marae hire	3 hapū wide workshops at \$500 per workshop	\$1500.00
Food	As above	\$1500.00
Ngā Hapū o Ōtaki - administration costs	2	
Food In Kind		In <mark>kind</mark>
Resources – Phone, administration, travel, office space, governance group - meeting room venue hire, reporting to meetings of Ngā Hapū		In kind
Labour – Contractor		
Project Management	5	\$3,900.00
Deliver a Project Specification		\$3,900.00
Review existing information		\$3,000.00
Gather information		\$3,900.00
Analysis of results		\$3,900.00
Write 1 st draft plan		\$3,900.00
Peer review plan		\$3 <mark>,900.00</mark>
Write up final plan		\$3,900.00
Socialise Plan with Hapū		\$3,900.00
Deliver a final report to GW		\$3,900.00

In kind contribution (As noted above)	\$20,000	
PROJECT EXPENSES	\$60,000	
TOTAL PROJECT EXPENSES	\$80,000	

IN KIND CO-FUNDING

NHoO will provide in kind co-funding to the value of \$20,000 through:

- 1. the provision of an office space
- 2. phones,
- 3. admin support,
- 4. travel expenses,
- 5. workshops with whanau and hapū
- 6. Marae hire
- 7. kai for meetings
- 8. reporting to meetings of Ngā Hapū o Ōtaki including:
 - a. Governance
 - b. Executive
 - c. Hapū hui

ATTACHMENT TWO: NGATI TOA WHAITUA CHAPTER FOR ENVIROMENTAL MANAGEMENT PLAN PROPOSAL

NGĀTI TOA RANGATIRA PROJECT FUND EXPRESSION OF INTEREST

PROJECT TITLE WHAITUA CHAPTER	APPLICATION DATE: 13/08/2018	
Start date	20 August 2018	
End date	30 November 2018	
PROJECT MANAGER'S NAME	Turi Hippolite	
Cell Phone:		
Email address:		
TOTAL PROJECT COSTS	\$20,000	

FUNDING CATEGORY SOUGHT

• Small Fund project up to \$20,000

PROJECT DESCRIPTION

Ngāti Toa Rangatira (Ngāti Toa) wish to develop a chapter with n our Environmental Management Plan that gives effect to the National Policy Statem nt for Freshw ter Management. This chapter will enable Ngāti Toa to develop policy statemen s that reflect our unique iwi view on the current and future state of Te Awarua o Porirua.

Background

Since 2014 Ngāti Toa have been pa ticipants in e Awarua o Porirua Whaitua project. Our task as part of a wider collective, to deve op a Wha tua Implementation Plan (WIP) together with members of our community. As a committee, w were tasked with recommending ways to maintain and improve the quality of our fresh ter. Ngāti Toa involvement included representation through the following structures:

- Governance Te Upoko Taiao Hikitia Ropata (attends committee meetings but not in an iwi capacity)
- Committee Te Awarua o Porirua Sharli Jo Solomon committee member
- P ojec team Turi Hippolite resource management advisor

In May 2018, Te Rūnanga o Toa Rangatira Board (the Board) agreed that the iwi step aside from the Committee structure in favour of developing our own set of recommendations that are Ngāti Toa p cific for Te Awarua-o- Porirua Whaitua. This work will become one chapter of our wider Environmental Management Plan that is underway. We aim to submit this chapter with associated recommendations to the Committee by November 2018.

The aim of the Project

Our change in direction allows Ngāti Toa Rangatira to articulate our cultural values and recommendations while not being constricted by the consensus decision making model. This position allows us to give meaning to Te Mana o te Wai as Mana Whenua of Te Awarua o Porirua Whaitua.

The resulting document which will be implemented into the Environmental Management Plan will reflect our desired outcomes from the Whaitua Process which gives effect to the National Po cy Statement for Freshwater within the catchment of Te Awarua o Porirua.

Te Rūnanga o Toa Rangatira will establish a working group that will supervise deliverables of the project within the required timeframes. The Iwi working group will also facilitate the consultation with the wider iwi through a number of wānanga. The Board will have the final sign of the resulting policies and recommendations.

OBJECTIVES

Whaitua Chapter of the Environmental Management Plan for Ngāti Toa Rangatir

- 1. to define Ngāti Toa values related to Te Awarua o Porirua
- 2. the aspirations of Ngāti Toa within this space
- 3. the water quality that we would expect as iwit ensure thit traditional activities can be practiced
- 4. Include and Inform the wider Ngāti Toa iwi in te Awarua o Porirua Whaitua Process
- 5. environmental scan of the current en ironment and pressures on this area
- 6. will identify a vision statement for he m nagem nt of the catchment including:
 - a. governance arrangements need d to achieve the vision
 - b. the values on how the mau i of the catchment and people are protected, sustained and nurtured
 - c. capability and apacity development to enable Ngāti Toa to fulfil their kaitiakitanga responsibilities and pass on knowledge to their mokopuna
- 7. will identify the Maori environmental management philosophy of Ngati Toa which includes:
 - a. envir nmental principles
 - b. ecolog cal resto ation
 - c. k itiaki monitoring
- 8. is consistent with the Regional Policy Statement or GWRC's regional plans (eg the Natural Resou ces Plan), strategies and policies (General Principle 2)
- 9. im rov s and enhances the partnership relationship between GWRC and mana whenua par ners (General Principle 3)
- 10. enables Ngāti Toa to give expression to tino rangatiratanga (General Principle 4)

DELIVERABLES

Milestones	Activity description:	Time from start date
1	 Form a working group to develop and deliver: 1. a project specification which describes the detailed deliverables, due dates and costs for the project 2. the services required to deliver the requirements of the signed off project specification 	2 weeks
2	Deliver a fully scoped and detailed plan of the services needed to deliver the project for sign off by the Board	1 month
3	 Review relevant existing information which includes but is not limited to: 1. Draft Environmental Management plan 2. Information from committee 3. GW's Natural Resources Plan relevant objectives, policies and Schedules 4. National Policy Statement for Freshwater Management 5. Examples of implementation plans from other Iwi 	1 month
4	 Gather information from key sources to identify key aspects of the plan: 1. 3 wānanga with Ngāti Toa, inclusion of committee 2. Specialist advisors (tikanga and reo Māori) 3. interviews with key experts 	2 months
5	 Regular linking with the GW Whaitua team (Tim and Shane) A member of Te Hunga Whiriwhiri (Shane) working directly with Ngāti Toa working group. Regular updates to ensure that as the recommendations are being developed they will be ready to be implemented as policy and rules 	Throughout

	6	 Write up the first draft for sign off by the Board Whaitua Chapter and recommendations including: 1 the vision, objectives, values, strategic priorities and the management and operational aspects to deliver vision 2 governance arrangements needed to achieve the vision 3 values on how the mauri for the catchment and the people are protected, sustained and nurtured 	3 months	
		 4 the Māori environmental management philosophy of Ngāti Toa which includes: a. environmental principles b. ecological restoration c. kaitiaki monitoring 5 how the Chapter and recommendations a. are consistent with the National Policy Statement for Freshwater Management and other regional/district plans b. improves and enhances the partnership rel tionship between GWRC and mana whenua partners 		
	7	 c. enables Ngāti Toa to give expression to t no rangatiratanga environmental principles Peer review the draft plan with environmental xperts nd 	3 months	
	8	whanau at wānanga wide workshop. Write up the final draft for sign off by the B ard Submit a copy of final plan to GW	4 months	
2				

Costs for governance group meetings		
Working Group meeting fees for drafting of chapters	\$150 per meeting for three members x 8 meetings	\$3,60
Associated travel and mileage re-imbursements for attendance at Working Group meetings	\$0.70 Per Kilometre	\$75 \$480
Food	8 meetings \$10 per person x 6	
Three wānanga		$\mathbf{\nabla}$
Marae hire x 3 hui	\$300 for half a day	\$900
Food x 3 hui	\$15 Per person (70 people)	\$3,150
Resources printed for 3 hui		\$500
Trust administration co	sts	
Labour		
Gather and analyse key information	\$150 per hour for 4 people for 8 hours	\$4,800
Drafting of publication chapters – hire a professional writer	\$150 per hour for 8 hours	\$3,000
Specialist advisors (tikanga, reo)		\$2,820
TOTAL PROJECT EXPENSES		\$20,000